

Board of Management Compliance Commitment

For the Board of Management, Compliance is a particularly important and essential part of Uniper's integrity and culture. We can expect our business transactions and projects to be accepted by society only if we comply with laws and regulations. The integrity of each staff member is indispensable if we want to retain the trust of our stakeholders, such as our customers, suppliers, and shareholders.

As global players the legal framework for our activities must be observed without fail. This also applies to our internal policies, which give substance to the respective laws and regulations and to our ethical principles.

We have zero tolerance to infringements, and we would rather abandon business deals and internal targets than violate laws or policies. No supervisor is allowed to issue

instructions to the contrary or to tolerate any infringements. Non-compliance with laws and policies, in particular violations against anti-corruption, anti-money laundering and anti-terrorism regulations, sanctions, competition law, insider trading rules and capital market law, is no means of a successful business. Breaches might lead to personal liability not only of the persons involved but also of the respective legal entity, its directors, and officers and potentially even the whole Uniper Group.

With our Compliance Management System, Uniper has taken far-reaching measures to detect, stop and mitigate compliance risks more effectively. Our program supports management in leading with integrity since being a role model starts from the top. Our employees at all levels have the responsibility to ensure Compliance.

Compliance
Living integrity

